

**RECEIVED  
CENTRAL FAX CENTER**

**VISTAIP**  
**LAWGROUP LLP**  
Intellectual Property Attorneys

**OCT 05 2006**

[www.viplawgroup.com](http://www.viplawgroup.com)

Southern California office:  
2040 Main Street, 9th Floor, Irvine, CA 92614  
Tel: 949.724.1849 Fax: 949.625.8955

Northern California office:  
12930 Saratoga Avenue, Suite D-2, Saratoga, CA 95070  
Tel: 408.781.0577 Fax: 408.877.1662

**FACSIMILE TRANSMITTAL FORM**

**TO:** Mail Stop Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Fax No: 571-273-8300

Phone No:

**FROM:** William A. English  
wae@viplawgroup

Fax No: (949) 625-8955

Phone No: (562) 665-3953

**Re:** Application Serial No. 09/991,485

**Date/Time:** October 5, 2006

**No. of Pages:** 4  
(including cover)

**Docket No.:** CAR-001

**Note:**

**RECEIVED  
CENTRAL FAX CENTER****OCT 05 2006****PATENT  
CAR-001****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:	)	Group Art Unit: 2177
	)	
COOKE, Iain C., et al.	)	
	)	
Serial No.: 09/991,485	)	Examiner: Linh Black
	)	
Filed: November 13, 2001	)	
	)	
For: A SYSTEM AND METHOD FOR	)	
MANAGING THE SYNCHRONIZATION	)	
OF REPLICATED VERSION-MANAGED	)	
DATABASES	)	

**PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT  
UNINTENTIONALLY ABANDONED UNDER 37 CFR 1.316(c)**

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir/Madam:

Applicants hereby petition for revival of the above referenced patent application assigned to Tadpole Technology PLC. The application became abandoned on January 9, 2006 for failure to timely pay the issue and publication fees, as required by the Notice of Allowance mailed October 7, 2005.

1. Petition Fee

The Commissioner is authorized to charge any fees that may be required to Deposit

Account No. 50-1105, Matter No. CAR-001. Applicants believe the total fee due is \$1,750

**CERTIFICATE OF FACSIMILE TRANSMISSION**  
(37 C.F.R. §§1.6, 1.8)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being transmitted via fax on the date shown below to the fax number (571) 273-8300 addressed to the Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

October 5, 2006  
Date of facsimile transmission

  
William A. English

PATENT  
CAR-001

(\$750 for the petition fee, pursuant to 37 CFR 1.17(m), and \$1,000 for the issue and publication fees).

2. Proposed Response and/or Fee

Enclosed is the Fee Transmittal, which is fully responsive to the Notice of Allowance mailed October 7, 2005. Applicants have also authorized the issue and publication fees due in response to the Notice of Allowance.

3. Verified Statement

The entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 C.F.R. § 1.137(b) was unintentional.

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Dated: October 5, 2006

By 

William A. English  
Registration No. 42,515  
Attorneys for Applicants

Vista IP Law Group LLP  
2040 Main Street, 9<sup>th</sup> Floor  
Irvine, CA 92614  
TEL: (562) 665-3953  
FAX: (949) 625-8955

## PART B - FEE(S) TRANSMITTAL

RECEIVED  
CENTRAL FAX CENTER

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
or Fax (571) 273-2885

OCT 05 2006

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

23410 7590 10/07/2005

COHEN SAKAGUCHI & ENGLISH LLP  
2040 MAIN STREET, 9TH FLOOR  
IRVINE, CA 92614

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

WILLIAM A. ENGLISH	(Depositor's name)
	(Signature)
1/9/06	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/991,485	11/13/2001	Iain C. Cooke	CAR-001	3178

TITLE OF INVENTION: SYSTEM AND METHOD FOR MANAGING THE SYNCHRONIZATION OF REPLICATED VERSION-MANAGED DATABASES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$1000	01/09/2006
EXAMINER	ART UNIT	CLASS-SUBCLASS			
BLACK, LINH	2167	707-010000			

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 WILLIAM A. ENGLISH

2 COHEN SAKAGUCHI &amp; ENGLISH LLP

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

TADPOLE TECHNOLOGY PLC

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

CAMBRIDGE, UNITED KINGDOM

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-1105 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Typed or printed name

WILLIAM A. ENGLISH

Date JANUARY 9, 2006

Registration No. 42,515

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.